# JC20 Rec'd PETTED 1 7 OCT 2005 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.

U.S. Filing of International Application PCT/DE2004/000761

Applicants

Haymo KATSCHOREK, Mathias SEITZ

Confirm. No.

Unassigned

Filed

Herewith

Title

FILM AND OPTICAL ANTI-COUNTERFEITING

**ELEMENT** 

TC/A.U.

N/A

Examiner

N/A

Docket No.

1093-139 PCT/US

Dated

October 17, 2005

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

I hereby certify this correspondence is being deposited with the United States Postal Service as Express Mail No. EL 679459225 US postpaid in an envelope, addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313-1450 on October 17, 2005.

Cian atuma

#### INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R.

§1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

#### **UNITED STATES PATENTS**

PATENTEE	PATENT NO.	PATENT DATE
Fünfschilling, et al.	5,903,330	May 11, 1999
Taylor, et al.	6,062,604	May 16, 2000

#### UNITED STATES PATENT PUBLICATIONS

<u>APPLICANT</u>	PUBLICATION NO.	PUBLICATION DATE				
Moia	2003/0035191 A1	February 20, 2003				
Suzushi	2002/0110651 A1	August 15, 2002				

#### **FOREIGN PATENT DOCUMENTS**

COUNTRY	PUBLICATION NO.	PUBLICATION DATE
Europe	EP 1 227 347 A1	July 31, 2002
WIPO	WO 01/60589 A1	August 23, 2001*
Europe	EP 1 203 968 A1	May 8, 2002*
Europe	EP 1 219 979 A1	July 3, 2002*
Europe	EP 1 028 359 A1	August 16, 2000*
Europe	EP 1 079 245 A2	February 28, 2001*
WIPO	WO 98/52077	November 19, 1998*
Europe	EP 0 704 066 B1	April 3, 1996
Japan	JP 2000347030	December 15, 2000
Switzerland	CH 653 161 A5	December 13, 1985

### **OTHER DOCUMENTS**

Dr.-Ing. G. Gleske, "LCD-Technologie (Liquid Crystal Displays), Institut fur Konstruktion, Mikrotechnik und Medizintechnik der TU Berlin, Pages 1-8.

A separate listing of each of the references set forth above has also been provided on the attached Form PTO-1449. References cited in the International Search Report (ISR), which accompanies this submission, are indicated by an asterisk. Copies of all references cited are enclosed herewith. An English abstract of each non-English foreign patent

10/553372 JC20 Rec'd PCT/FTO 17 OCT 2003

document has also been provided to facilitate reference thereto. English European patent document EP 0 704 066 is submitted herewith in lieu of corresponding non-English DE 694 28 700 which was cited in the prosecution of the related German application. A copy of the Abstract from U.S. Patent 4,501,439 to Antes has also been provided for reference to corresponding non-English patent document CH 653 161.

Applicants respectfully submit that the requirements of candor and good faith set forth in 37 C.F.R. §1.56 have been fulfilled, having submitted all known references in compliance with 37 C.F.R. § 1.97 and § 1.98. Applicants believe that no fee is due at this time. If it is determined that a fee is due, please charge our Deposit Account No. 08-2461.

In view of the present submission, it is believed that the above-referenced application is, in all respects, complete and in condition for examination and favorable consideration. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,

Betsy Kingsbury Dowd Registration No.: 52,830 Attorney for Applicant(s)

HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, New York 11791-4407 (516) 822-3550

BKD:tt

Sheet  $\underline{1}$  of  $\underline{2}$ 

#### FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE 1093-139 PCT/US (Rev. 2-32) INFORMATION DISCLOSURE **APPLICANT** CONFIRMATION NO. STATEMENT BY APPLICANT Katschorek, et al. Unassigned **GROUP** FILING DATE (Use several sheets if necessary) Herewith Unassigned U.S. PATENT PUBLICATIONS DOCUMENT SUB **EXAMINER** DATE NAME CLASS FILING DATE CLASS NUMBER INITIAL IF APPROPRIATE 2003/0035191 2/20/03 Moia 2002/0110651 8/15/02 Suzushi U.S. PATENT DOCUMENTS SUB **DOCUMENT EXAMINER** CLASS FILING DATE DATE NAME **NUMBER** CLASS INITIAL IF APPROPRIATE 5,903,330 Fünfschilling, et al. 5/11/99 6,062,604 5/16/00 Taylor, et al. FOREIGN PATENT DOCUMENTS TRANSLATION SUB **EXAMINER** DATE COUNTRY **CLASS** DOCUMENT NUMBER **CLASS** INITIAL YES NO EP 1 227 347 A1 7/31/02 Europe WO 01/60589 A1 8/23/01\* WIPO EP 1 203 968 A1 5/8/02\* Europe 7/3/02\* EP 1 219 979 A1 Europe OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

## **EXAMINER**

1

#### **DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. SERIAL NO. 1093-139 PCT/US Unassigned								
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT Katschorek, et al.				CONFIRMATION NO. Unassigned				
(Use several sheets if necessary)				FILING DATE Herewith				GROUP Unassigned				
			U.S. P	ATENT PUBL	ICATION	IS						
EXAMINER INITIAL	DOCUME NUMBE		DATE	NAMI		CLASS			UB FILING DAT			
				D 4 (FFD) (F) D 0 0								
EXAMINER INITIAL	II	U.S. PATENT DOC  DOCUMENT DATE NAME  NAME			CLASS			SUB ASS II		FILING DATE IF APPROPRIATE		
			FORE	IGN PATENT	DOCUME	ENT	rs	· <del>-</del> ,				
EXAMINER INITIAL	DOCUM	DOCUMENT NUMBER  EP 1 028 359 A1  EP 1 079 245 A2  WO 98/52077  EP 0 704 066 B1  JP 2000347030  CH 653 161 A5		DATE	COUNTR	RY CLASS		SS SU CLA		_		ISLATION NO
	EP 1 02			8/16/00*	Europe						123	10
	EP 1 07			2/28/01*	Europe							
	WO 98/			11/19/98*	WIPO							
	EP 0 70			4/3/96	Europe							
	JP 2000			12/15/00	Japan				<del></del>			
	CH 653			12/13/85	Switzerla							
0	THER DOC	UME	NTS (Inc	cluding Author,	Title, Dat	te, F	Pertine	nt P	ages,	Etc	:.)	
				ske, "LCD-Tec Mikrotechnik u								
EXAMINER				DATE CON	SIDEREL	)						

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.